

IHI JU Call 1 & Call 2 FAQs

History of Changes

Version	Date	Comments
1	28/06/2022	<ul style="list-style-type: none">• First version
2	29/07/2022	<ul style="list-style-type: none">• Question 10 has been updated

The Call text provides the overall principles, expectations and requirements to be followed by applicants in the preparation of their proposals and by the panel of experts in the evaluation of the submitted proposals. This Q&A document aims to explain some aspects in more detail, but is by no means intended to be exhaustive, nor should it differ from anything stated in the Call text.

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1 Who is eligible to participate in IHI calls?

Any legal entity from EU Members States, associated countries, and third countries can participate. These entities, however, may not be eligible to receive funding from IHI JU (see below).

Please note, a number of exceptions to the above apply to UK, Swiss, and Russian participants. Please refer to the specific FAQs below as regards participants from these countries.

2 Who is eligible to receive funding in IHI calls?

- a. Generally, public bodies, non-profit organisations, and those small and medium enterprises ('SMEs/mid-sized for-profit legal entities') * that are based in an EU Member State, associated country, or low to middle income non-associated third country**, are eligible to receive funding from IHI JU.
 - Single-Stage
- b. For single-stage calls, any legal entity regardless of its profit/non-profit status or annual turnover, which is established in an EU Member State, associated country, or low to middle income non-associated third country, may receive funding from IHI JU.
 - Two-Stage
- c. For two-stage calls, those legal entities described in a) above can receive funding. Large for-profit legal entities (who are larger than SMEs/mid-sized for-profit legal entities*) cannot receive funding.
 - To be considered eligible for funding, such for-profit legal entities must have an annual turnover of less than EUR 500 million* and must not form part of a larger group with an annual turnover of less than EUR 500 million (downstream or upstream).
 - For a list of the identified Low and Middle Income Countries, please consult the List of Participating Countries in Horizon Europe: https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/list-3rd-country-participation_horizon-euratom_en.pdf.
- d. Lastly, legal entities from non-associated third countries may, under exceptional circumstances, also be considered for IHI JU funding.

** for the definition of SME, please refer to Commission definition of micro, small and medium-sized enterprises*
<https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32003H0361>

3 Can legal entities from the UK and Switzerland participate in IHI actions and can they receive funding?

Please note, there's a distinction here between when legal entities can participate in IHI, and when those legal entities can receive funding:

The UK and Switzerland are not associated countries to Horizon Europe. The association negotiations with the UK are still ongoing. Details can be found here: https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/list-3rd-country-participation_horizon-euratom_en.pdf

Here you may also find the latest updates on Horizon Europe association negotiations: https://ec.europa.eu/info/news/updates-association-third-countries-horizon-europe_en

The UK and Switzerland are not yet 'associated' to the Horizon Europe programme, nor are they low to middle income countries.

However, in light of ongoing negotiations and to provide for the conclusion of an association agreement between the UK and the EU, UK participants are eligible both to participate in IHI actions and are currently considered eligible for funding in IHI actions. This will, however, be reviewed at grant agreement signature.

Consortia therefore that contain UK participants should plan for those participants to possibly be deemed ineligible for IHI funding at Grant Agreement signature.

Switzerland's participation is not for the moment considered imminent, therefore participants from Switzerland are eligible to participate but are not eligible to receive funding from IHI JU. Swiss participants may however be able to receive funding from their national authorities.

As a result of the crisis in Ukraine and the subsequent EU Commission decision to suspend the cooperation with Russian entities in research, science and innovation, Russian entities are not eligible to participate in IHI projects or receive funding.

For more information on IHI funding please visit the website <https://www.ih.europa.eu/apply-funding>

4 Can non-profit/public entities contribute In-kind in IHI actions?

There are some options for non-profit/public entities on this.

By default, In-kind is contributed only by the private members, constituent entities or affiliated entities of either, by international organisations and by contributing partners. The latter, however, do not contribute In-kind to additional activities ('IKAA').

The option is presented however, for such non-profit/public entities to become contributing partners to a particular topic in IHI, where, upon acceptance by the IHI Governing Board (see specific question below), the contributing partner could contribute In-kind.

5 Do non-profit/public entities contribute to the 45% In-kind threshold for IHI actions?

The 45% threshold is satisfied by In-Kind contributions from the private members, constituent entities or affiliated entities of either, by international organisations and by contributing partners.

6 Is the 45% contribution at project level?

Yes, 45% of each action's eligible costs and the costs of its related additional activities must come via contributions from the private members, constituent entities or affiliated entities of either, by international organisations and by contributing partners.

7 How does my organisation become a contributing partner?

Legal entities wishing to become contributing partners must submit a written letter of endorsement to the IHI programme office, setting out the details of their organisation, their support of the objectives of IHI JU in the specific call topic, the nature of their proposed contribution. This application is then reviewed by the IHI Governing Board and, if it is accepted, the applicant is confirmed as a contributing partner to IHI JU for the specific topic.

Further information on the application letters can be found on the IHI webpage, [Become a contributing partner](#).

8 When will I know whether my proposal has been successful?

All applicant consortia will be notified between 3 and a maximum of 5 months from the submission deadline for the proposal.

9 In terms of costs for academia and small SME's, are they eligible for overheads? Is it included in the 100% remuneration of eligible costs for funding?

Yes. Beneficiaries receiving funding from IHI JU will also have their indirect costs remunerated at a flat rate of 25% (providing the beneficiary complies with the provisions of the grant agreement particularly on costs and reporting).

10 We note from discussions surrounding IHI calls that the 3A provisions ('Affordable, Available, Accessible') provisions will apply to some call topics. Which calls do they apply to and how should these be addressed by the applicant consortia in the proposals?

Please note that according to the Art 125.3 Council Regulation (EU) 2021/2085 establishing IHI: 'Participants to indirect actions funded by the Innovative Health Initiative Joint Undertaking must ensure that the products and services that they develop based or partly based on the results of clinical studies undertaken as part of an indirect actions are affordable, available and accessible to the public at fair and reasonable conditions.'

These 'Affordable, Available, and Accessible' provisions only apply to certain IHI call topics. In each call topic the text a) specifies whether or not these provisions apply to the specific topic, and b) details any specific conditions that are imposed by this designation. For example, in the case of Call 1 and Call 2 of IHI, these provisions only apply to Topic 2 and 3 of Call 1.

At the proposal stage, and as part of the Plan for the Dissemination, Exploitation, and Communication Activities ('PDECA') which forms part of the proposal, the applicant consortium must identify potential and expected project results that may be subject to the 3A conditions and broadly outline their strategy to achieve the above objectives. For the 3A specific projects, the 3A content in the PDECA will be checked during the evaluation stage.

For more information on the 3A provisions please see relevant section of the Guide for Applicants.

11 Can for-profit entities, that are not part of the pre-identified industry consortium, participate in the two-stage calls as part of the applicant consortium that apply for the two-stage call? Can they receive funding?

For two-stage calls, for-profit entities that are not part of the pre-identified industry consortium may participate.

Whether these for-profit entities can then receive funding depends on whether (these conditions are cumulative):

- i. They are established in a Member State, an associated country, or a low to middle income third country;
- ii. They qualify as SMEs/mid-sized for-profit entities, being a for-profit entity

12 For single-stage call topics, how many projects can be funded and is there a minimum IHI contribution threshold?

For single stage calls, this depends upon the total budget that is available to the topic, and the amounts requested by the highest ranked successful applicant consortia. There are no pre-defined minimum IHI financial contribution thresholds. The respective topic mentions an estimated IHI financial contribution that would allow a proposal to address the defined outcomes appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.

13 In single stage calls, is there a requirement to have an academic coordinator and one industry leader?

There is no such requirement. However, it is important that the consortium considers on how best to reflect the public-private partnership nature of IHI JU in the allocation of the consortium roles, for example via dual leadership of the work packages, including the appointment of a scientific leader. – please refer to guide to applicants section 8 points to remember consortium roles.

14 Where can I find the links to the webinars on IHI's first calls for proposals?

The links to the Webinars on IHI's first calls for proposals can be found [here](#).