



IHI Call 3 project proposals

Financial part

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Content of this webinar

- Budget table
- Key thresholds
- Industry contribution types (from Private members and Contributing partners)
 - IKOP (including non-EU IKOP)
 - Financial Contributions (FC)
 - IKAA
- IHI budget tool
- Example of budget table
- Questions & Answers

Budget Table (IHI Call 3)

3 - Budget

?

No.	Name of beneficiary	Country	Role	Personnel costs/€	Subcontracting costs/€	Purchase costs - Travel and subsistence/€	Purchase costs - Equipment/€	Purchase costs - Other goods, works and services/€	Internally invoiced goods and services/€ (Unit costs-usual accounting practices)	Indirect costs/€	Total eligible costs	Funding rate	Maximum EU contribution to eligible costs	Requested EU contribution to eligible costs/€	Max grant amount	Income generated by the action	Financial contributions	Other sources of funding - IKOP	Other sources of funding - Non-EU part of IKOP	Own resources	Total estimated income	IKAA

Screenshot from the proposal submission environment

Budget Table

For private members and contributing partners

Only for private members

N o.	Name of beneficiary	Co untry	Rol e	Per son nel cos ts	Subcontr acting costs	Purchase costs			Internally invoiced goods and services (Unit costs)	Indirect costs	Total eligible costs	Fund ing rate	Maxim um EU contrib ution to eligible costs	Reques ted EU contrib ution to eligible costs	Incom e gener ated by the action	Financi al contribu tions	IKOP In-kind contributions for operational activities	Non-EU IKOP	Own resou rces	Total estimat ed income	IKAA In-kind contributions for additional activities
						Travel and subsistence	Equip ment	Othe r goods, works and services													

Estimated eligible costs

Estimated EU contribution and other sources of financing

IHI's specificities

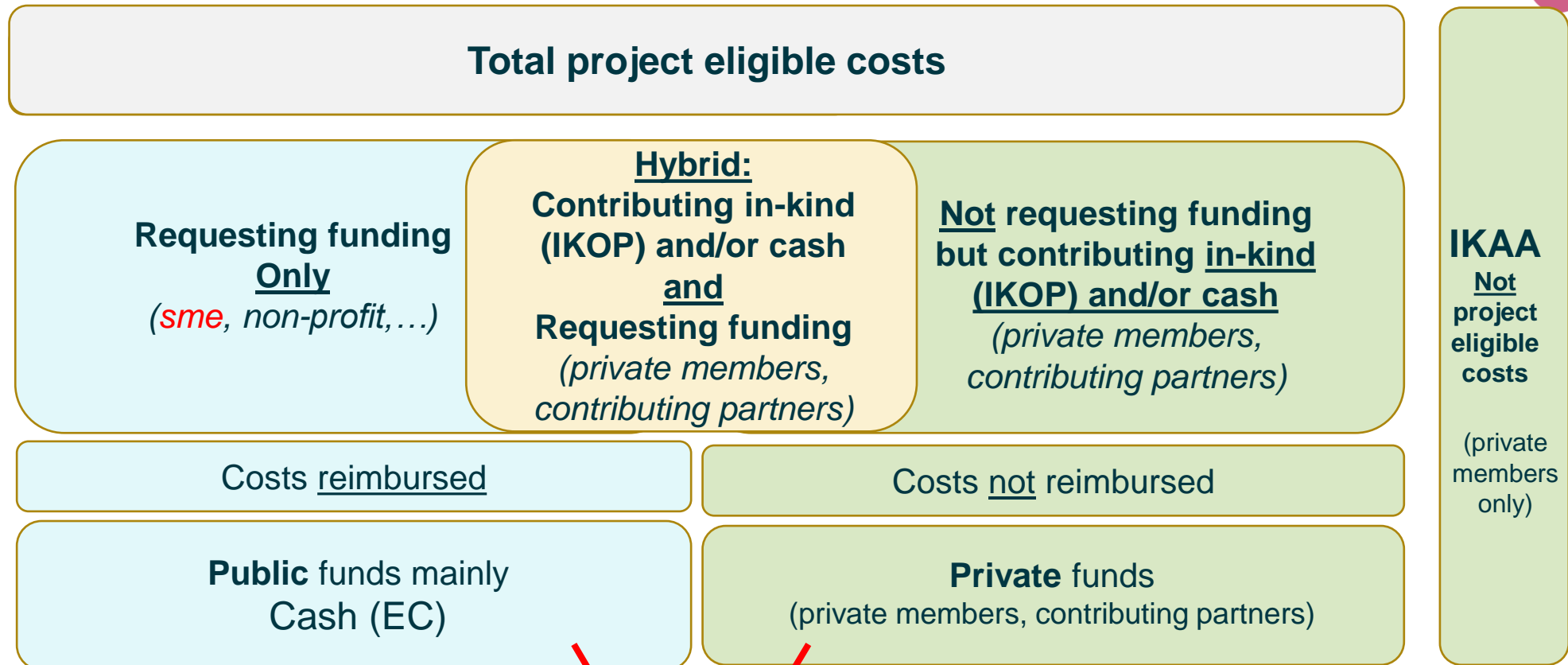
For all participants





Estimated eligible costs

Estimated eligible costs



IKAA
Not project eligible costs

(private members only)



Co-funding principle

Types of costs categories

Personnel costs	Subcontracting costs	Purchase costs			Internally invoiced goods and services (Unit costs)	Indirect costs	Total eligible costs
		Travel and subsistence	Equipment	Other goods, works and services			

All costs must fulfill cost eligibility criteria ([Art 6 MGA](#)):

actual/real, recorded in the accounts, foreseen in the budget, necessary for the action, documented/auditable, etc.



Only eligible costs can be reimbursed or be considered as in-kind contribution (IKOP)

Personnel costs [\(art 6.2 A in MGA\)](#)

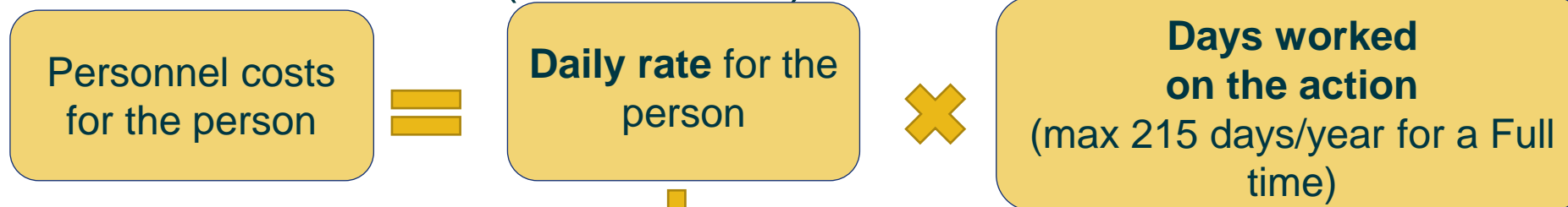
- Employees or equivalent (*fixed salary, employment contract*)
- Natural persons under direct contract other than an employment contract (*e.g in house-consultant*)
- Seconded personnel (persons employed and paid by a third party external to the project but put at the disposal of the Beneficiary against payment/for free) (*e.g University professor - researcher*)
- SME owners/natural persons that are not receiving a salary (unit cost) (*distinction unit vs actual costs not made at proposal stage in budget table, only at Grant preparation stage*)

Personnel costs

Cost of employee (most common case)

2 options:

1. Use of actual costs (HE formula):



↓ *actual annual personnel costs for the person / 215 days*

2. Use of average personnel costs (unit cost) if according to beneficiary's usual cost accounting practices



All calculation methods for Personnel costs are available here:



Adobe Acrobat
Document

Subcontracting costs [\(art 9.3 and art 6.2 B in MGA\)](#)

- Third party carrying out part of the work on project tasks for the Beneficiary
- Contract between subcontractor and Beneficiary
- Selection of subcontractor: follow your usual practice, ensure best value for money (or lowest price if appropriate), transparency, no conflict of interest
- No subcontracting allowed between beneficiaries

Purchase costs [\(art 6.2 C in MGA\)](#)

- Travel, accommodation and subsistence
- Equipment (depreciation cost and for the part used for the project)
- Other goods, works or services, if necessary to implement the project
 - normally limited in scope (not project tasks)
 - If to implement project tasks → considered as subcontracting

Internally invoiced goods and services

[\(art 6.2 D2 MGA\)](#)

- Goods or services that the beneficiary itself produces or provides to be directly used for the action (e.g: self-produced consumables, specific devices or facilities,...)
- Calculated as Unit cost following the beneficiary's usual cost accounting practices

Indirect costs [\(art 6.2 E in MGA\)](#)

- Fixed flat rate as 25% of the eligible direct costs (excluding subcontracting costs and internally invoiced goods and services)
- Calculated automatically for all beneficiaries (also for companies providing IKOP)



No indirect costs should be included in the other costs categories (no double counting allowed)

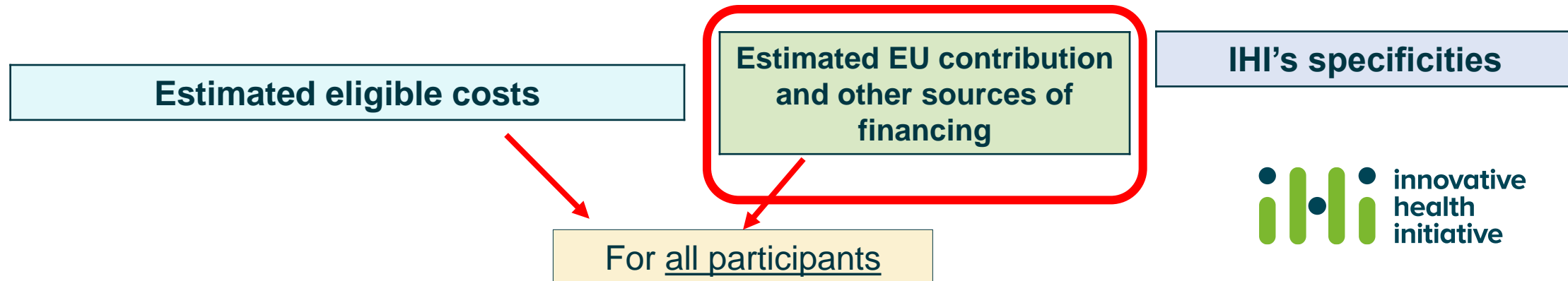
e.g: average personnel costs – if usual practice is to include indirect costs in FTE* rate
→ the rate must be recalculated to exclude indirect costs



Estimated EU contribution and other sources of financing

EU contribution and other sources of financing

N o.	Name of beneficiary	Co untry	Rol e	Per son nel cos ts	Subcontr acting costs	Purchase costs			Internally invoiced goods and services (Unit costs)	Indirect costs	Total eligible costs	Fund ing rate	Maxim um EU contribution to eligible costs	Reques ted EU contribution to eligible costs	Incom e generated by the action	Financi al contribu tions	IKOP In-kind contributions for operational activities	Non-EU IKOP	Own resources	Total estimated income	IKAA In-kind contributions for additional activities
						Travel and subsistence	Equip ment	Othe r goods, works and services													



How are participants costs covered?



- Reimbursed by IHI (= EU contribution)?
- Covered with own resources?
- Covered by financial contributions received (other than from IHI)? (e.g: from private member/contributing partner/other source)

Several scenarios possible

- Can be with only one source of financing (e.g: only EU contribution)
- Can be with a combination
- Can be none (e.g: providing only IKOP)

Costs reimbursed by IHI (EU contribution)

Funding rate	Maximum EU contribution	Requested EU contribution
Always 100% <i>(Automatic)</i> <i>For all entities, even for entities <u>not eligible</u> for funding!</i>	= Funding rate x total eligible costs <i>(Automatic)</i>	Amount of IHI funding requested to reimburse part/all project eligible costs <i>(To encode manually)</i>

- 100% funding rate gives the wrong impression that all participants can get all their costs reimbursed **but it is not the case** because
 - Private members and contributing partners should bring at least **45% contribution to the project**
 - Some participants are not eligible for funding
(e.g: entities in the US, in Switzerland,...)

→ Not all participants get (all) their costs reimbursed

Simplified budget example

Single-stage call proposal

Eligible for funding:

any legal entity established in a Member State, Associated Country or Low- and Middle-Income Country (and if not, exceptional funding can be granted if external experts consider the entity is essential for the project implementation)

Type of participant	Total eligible costs + ICAA	Funding rate	Reimbursed eligible costs	Contributions (IKOP,FC,ICAA)
Beneficiaries <u>requesting funding only</u> (Universities, hospitals, SMEs, patient orgs, regulators..)	15 million	100%	15 million	/
Private members and/or Contributing partners (requesting <u>zero funding</u>)	15 million	100%	0	15 million
Private members and/or Contributing partners (<u>'Hybrid'</u>)	10 million	100%	5 million	5 million
Total	40 million	100%	20 million (50%) Public funds	20 million (50%) Private funds

Simplified budget example

Two-stage call Full proposal

Not eligible for funding : pre-identified private members and contributing partners
Large companies with annual turnover > 500 M

Type of participant	Total eligible costs + IKAA	Funding rate	Reimbursed eligible costs	Contributions (IKOP,FC,IKAA)
Beneficiaries requesting full funding (Universities, hospitals, SMEs, patient orgs, regulators..)	20 million	100%	20 million	/
<u>Pre-identified</u> Private members and Contributing partners (<u>not eligible</u> for funding)	20 million	100%	0	20 million
Total	40 million	100%	20 million (50%) Public funds	20 million (50%) Private funds

EU Contribution

- Participants eligible for funding
 - Beneficiaries request full reimbursement (SMEs, universities, hospitals,...)
 - Hybrid participants: can request some funding but keeping in mind 45% contribution should be reached collectively + it will decrease the funding available for the other participants.
- Participants not eligible for funding → make sure no EU contribution is requested (even if submission tool allows it!)



**Who gets what part of funding?
Important to discuss and agree among
participants**

EU Contribution

UK participants:

- At proposal stage: eligible to request funding in IHI proposals as UK is considered as HE associated country (even if ongoing agreement)
- For successful proposals, the requested funding is
 - either covered by IHI (if UK do become HE associated country), or could be
 - covered by UK government (if UK does NOT become HE associated country)

Swiss participants: Not eligible to request funding

Costs covered by financial contributions (FC)

- Amount/cash expected to be received for the project implementation, other than the EU contribution
- FC can be paid by private members, contributing partners or other entities and from inside or outside the consortium
- Any participant can receive a FC
- In Proposal PART B (table 3.1k): Financial contributions to be explained:
 - private member/contributing partner/other entity paying the FC,
 - the FC amount,
 - the beneficiary receiving the FC and
 - the concerned project activities to carry out with the received FC

Costs covered with own resources

- Amount expected of own resources the participant plans to use for the implementation of the project
- The participant's costs that are not reimbursed (not covered by EU contribution or financial contribution or both)
- Always zero for private members and contributing partners (own resources = IKOP)

Simple example of sources of financing

Type of participant	Total eligible costs	Requested EU contribution	Financial contributions	Own resources
Beneficiaries <u>requesting funding only</u> (Universities, hospitals, SMEs, patient orgs, regulators..)	20 million	10 million	5 million	5 million

- Check that all costs are ‘covered’ **but no profit**
- Total eligible costs = (requested EU + financial contributions + own resources)

IHI specificities

Budget Table

For private members and contributing partners

Only for private members

N o.	Name of beneficiary	Co untry	Rol e	Per son nel costs	Subcontr acting costs	Purchase costs			Internally invoiced goods and services (Unit costs)	Indirec t costs	Tota l eligi ble costs	Fund ing rate	Maxim um EU contrib ution to eligible costs	Reques ted EU contrib ution to eligible costs	Incom e gener ated by the action	Financi al contribu tions	IKOP In-kind contributions for operational activities	Non-EU IKO P	Own resou rces	Total estimat ed income	IKAA In-kind contributions for additional activities
						Travel and subsistence	Equip ment	Othe r goods, works and services													

Estimated eligible costs

Estimated EU contribution and other sources of financing

IHI's specificities

IHI Private members (PM)

- **Four** Industry trade associations (EFPIA includes Vaccines Europe subgroup)



- “Constituent entities”: members of these associations (pay membership fees)
- Affiliated entities of the above entities (capital or legal link)

Contributing Partners (CP)

- Any organisation that wants to contribute to the IHI objectives without becoming a full member can apply
- 'Application letter' to be sent to IHI Office via Infodesk@ihi.europa.eu (sent prior to proposal' submission deadline)
- In letter: commit a certain contribution to a particular Call and topic
- To be approved by the IHI Governing Board
- How to become Contributing partner? Check [IHI website](#)

Contribution types

- **IKOP** - In-kind contributions to OPerational activities
Eligible costs incurred in implementing an IHI project
Include EU and non-EU IKOP
- **FC** - Financial contributions
Cash contributions to project beneficiary(ies) to support eligible costs for project implementation
- **IKAA** - In-kind contributions to Additional Activities
Costs for implementing additional activities

Private members



Contributing Partners



Private members can contribute IKOP, IKAA and FC.

Contributing partners can contribute IKOP and FC, not IKAA.

One key threshold

Min 45% Industry contribution

“Private members, their constituents or affiliated entities and contributing partners must contribute at least 45% of the action’s (eligible costs and additional activities)”

(IKOP + FC + IKAA) ≥ 45% of action’s (eligible costs + IKAA)

If threshold not reached collectively → Proposal NOT evaluated

 [IHI budget tool](#) to verify if your proposal reaches 45%

Min 45% Industry contribution

- Coordinator of the proposal must submit a [Declaration](#) (Annex) to confirm that the required 45% contribution will be provided
- 45% can be reached with only one or two contribution type (e.g only with IKOP)
- Only private members and contributing partners can contribute to the 45%

(during GAP, IHI office checks if private members are indeed members and if contributing partners have been approved by the IHI GB).

- 45% must be maintained during project implementation
- Prudent approach recommended for proposals: aim for 50%



- IKOP

In-Kind contribution for Operational activities

IKOP

- IKOP = Eligible costs for the action - requested EU contribution
- Only for private members and contributing partners
- Companies providing IKOP are subject to the same rights and obligations as any other beneficiary signing the GA
 - Same cost eligibility criteria (Art 6 of MGA)
 - Same costs types allowed
 - Same rules for project reporting ...

IKOP

- In the budget table:
 - IKOP to be calculated manually (not automatic)
 - IKOP includes both **EU IKOP** and **non-EU IKOP**

Type of participant	Total eligible costs	Requested EU contribution	IKOP	Non-EU IKOP
Private members and/or Contributing partners (Hybrid)	15 million	5 million	10 million	2 million

Non-EU IKOP

- IKOP incurred in third countries OUTSIDE the EU or Horizon Europe associated countries
- the EU: 27 countries
- Third Countries Associated (AC) to Horizon Europe: (15.09.2022)
 - 15 HE associated countries: Albania, Armenia, Bosnia and Herzegovina, Georgia, Iceland, Israel, Kosovo, Moldova, Montenegro, North Macedonia, Norway, Serbia, Tunisia, Turkey, Faroe Islands, Ukraine
 - Ongoing agreements: Morocco, United Kingdom
- IKOP incurred in US, Switzerland, UK... is 'Non-EU' IKOP
- If agreement reached for UK before GA is signed: EU IKOP

Non-EU IKOP

- Criteria: where the underlying activities are carried out. Does not take into account where the company is established.
e.g: company based in the US but activities carried out in Germany → EU IKOP
company based in Germany but activities carried out in the US → Non-EU IKOP
- **Non-EU IKOP ≤ 20% total IKOP** of private members and contributing partners at Programme level
- Project level: limit for IHI Call 3 is set at **100% non-EU**
If more than 20% → private member to inform their association responsible office to ensure good monitoring at programme level



Financial Contributions (FC)

Financial contributions (FC)

- Cash paid to a participant to support (a part of) its project eligible costs
- Count for the 45% threshold if
 - the FC is paid by a private member or a contributing partner, and
 - the recipient of the FC is eligible for funding
- In proposal budget (PART A): No column 'FC paid' to be filled in by private member/contributing partner paing the FC
 - FC paid are to be specified in Proposal (PART B, table 3.1k) → slide 22

Special case of FC

- Private member (PM) or contributing partner (CP) pays a FC to **another PM or CP**:
 - count for the 45% if the PM/CP receiving the FC is eligible for funding
 - but the recipient of the FC must decrease its IKOP by the amount of FC received (no double counting!)
- IKOP not impacted if private member or contributing partner receives a FC from an entity **other than a PM/CP**



- IKAA

In-Kind contribution for additional activities

IKAA in an IHI proposal

“Additional activities” must fulfil all below conditions:

1. contribute towards the achievement of objectives of the IHI projects, or towards the dissemination, sustainability or exploitation of the IHI project results
2. not be funded by the IHI or any other Union funding programme
3. be carried out in the Union or in countries associated with HE (i.e. cannot be ‘non-EU’)
4. be described in the [Annex on IKAA](#) of the Proposal (using these [Instructions](#))
5. not be project task(s) (i.e not IKOP as per DoA) – no double counting

Only for private members

IKAA \leq **40%** of (IKOP + IKAA) from private members at Programme level.

No limit at project level but if 40% exceeded → PM(s) to inform association(s) office(s).

IKAA versus IKOP

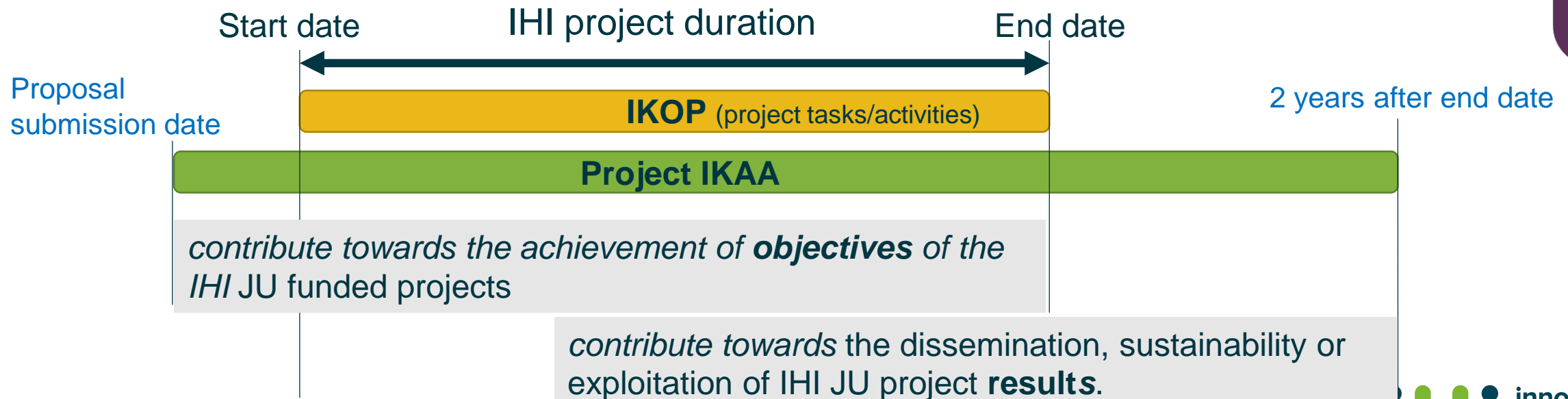
- **IKOP**: eligible costs in implementing project tasks/activities as described in the Description of the Action (DoA)
- **IKAA**: costs for additional activities that are not project tasks (i.e. not IKOP)
- It is up to the consortium to identify IKOP and IKAA for their respective project

- **IKOP** : eligible costs, so can be reimbursed by IHI (if private members/contributing partners are eligible for funding)
- **IKAA** : non-eligible costs so can NOT be reimbursed by IHI

- **IKOP**: subject to HE's rules (same rules as for any beneficiary signing the GA)
- **IKAA**: can be valued following the usual cost accounting practices of the company, the reporting is due by end of May each year,...

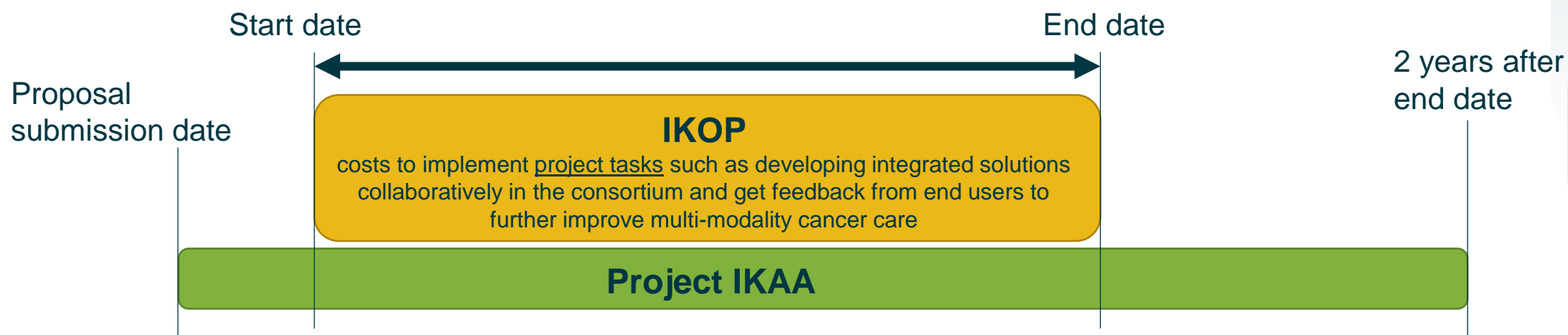
IKAA versus IKOP

- **IKOP**: cost must incur during the project implementation
- **IKAA**: costs can incur between the date of submission of the proposal and up to 2 years after project end date



Project ICAA – potential example

Project objectives: integrate diagnostic and predictive approaches to support multi-modality cancer care



Before project starts

costs for developing an image-guided radiation therapy device. The device will be used for the consortium activities and as a result will contribute to achieving the project's objectives.

During project implementation

costs for generating data from clinical trials using cancer treatments modalities (other than those of the project) which are used as independent datasets. These data will further contribute to verifying the usability of the integrated approach developed by the Project and help its finetuning (but the project activities will not depend on these specific datasets).

After project end

costs for developing a decision-making software tool that enables easier communication in the cancer care continuum and between stakeholders. This tool will contribute to the deployment/exploitation of the Project's outputs.



- IHI budget tool

IHI budget tool

- Makes calculations automatically
 - Does not allow values to be entered in cells when not applicable
 - Figures become red when something is wrong
 - Calculates thresholds for your proposal
- IHI recommends to use the [IHI budget tool](#) to
- test different budget scenarios
 - verify the proposal reaches the 45% eligibility threshold
 - ensure the budget is completed correctly



Do not submit this document to IHI as part of the proposal
Only Budget proposal (Part A) is considered

IHI budget tool

- Excel document with 4 tabs:
 - Instructions
 - Simplified budget
 - Detailed budget
 - Check results
- Find the [IHI budget tool](#) on IHI website and in the proposal zip package from the submission environment

'Simplified budget' tab

- Not all cost categories
- Only 3 rows
1 row per type of participant
- Test different budget scenarios



	TOTAL ELIGIBLE COSTS	REQUESTED EU FUNDING	FC received	IKOP	Non-EU IKOP	FC paid	IKAA	Total Industry
Participant types	Total costs include personnel, purchase, subcontracting and 25% indirect costs*	Up to 100% of total costs for entities eligible to receive funding**	Cash received by PMs/CPs/other sources to cover eligible costs	IKOP equals (Total eligible costs - Requested EU funding - FC received). It includes both EU and non-EU IKOP.	Part of IKOP that is "Non-EU". Non-EU IKOP must be ≤ IKOP	Cash paid by PMs/CPs to beneficiaries receiving funding (not in proposal budget)	Costs for additional activities	(IKOP + FC paid + IKAA)
All Beneficiaries requesting funding only (BRs) (not providing IKOP/FC/IKAA)	€0	€0	€0	/	/	/	/	/
All IHI private members (PMs) (requesting funding or not)	€0	€0	€0	€0	€0	€0	€0	€0
All IHI contributing partners (CPs) (requesting funding or not)	€0	€0	€0	€0	€0	€0	/	€0
TOTAL	€0	€0	€0	€0	€0	€0	€0	€0

Cells editable

Cells calculated automatically

Cells not applicable

'Simplified budget' tab

- See directly if the 45% threshold is met

Participant types	TOTAL ELIGIBLE COSTS	REQUESTED EU FUNDING	FC received	IKOP	Non-EU IKOP	FC paid	IKAA	Total Industry
	Total costs include personnel, purchase, subcontracting and 25% indirect costs*	Up to 100% of total costs for entities eligible to receive funding**	Cash received by PMs/CPs/other sources to cover eligible costs	IKOP equals (Total eligible costs - Requested EU funding - FC received). It includes both EU and non-EU IKOP.	Part of IKOP that is "Non-EU". Non-EU IKOP must be ≤ IKOP	Cash paid by PMs/CPs to beneficiaries receiving funding (not in proposal budget)	Costs for additional activities	(IKOP + FC paid + IKAA)
All Beneficiaries receiving funding only (BRs) (not providing FC/IKAA)	€0	€0	€0	/	/	/	/	/
All IHI private members (PMs) (requesting or not)	€0	€0	€0	€0	€0	€0	€0	€0
All IHI contributing partners (CPs) (requesting or not)	€0	€0	€0	€0	€0	€0	/	€0
TOTAL	€0	€0	€0	€0	€0	€0	€0	€0

* Note that indirect costs are calculated automatically as 25% of direct costs (on personnel and equipment, but not on subcontracting costs) for all types of participants.

** In a single-stage Call, any legal entity established in a Member State, associated country or low- and middle-income country is eligible to receive funding. The sum of the requested EU contribution and the FC received of a participant should not exceed its total eligible costs.

CHECK RESULTS:	Check description	Formula	Result	Action(s) needed
MANDATORY CHECK 45% ELIGIBILITY CRITERIA	Min 45% contribution from IHI private members and/or IHI contributing	$(IKOP + FC + IKAA) \geq 45\%$ of action's (eligible costs + IKAA)	0.00%	The threshold of 45% is not reached and your proposal is therefore NOT eligible. You need to further increase IKOP, FC paid or IKAA (or a combination of those contributions) in order to reach the 45% threshold.
OPTIONAL CHECKS	Total FC paid should equal total FC received, unless FC are received from a source external to the consortium.	Total FC paid - total FC received = 0	€0.00	The total financial contributions paid equal the total financial contributions received. No action needed.
	Max 40% IKAA	$IKAA \leq 40\%$ total (IKOP + IKAA) from private members	0.00%	The IKAA in your proposal remains under 40%. No action needed.
	Max 20% Non-EU IKOP	Non-EU IKOP $\leq 20\%$ total IKOP	0.00%	The non-EU IKOP in your proposal remains under 20%. No action needed.

Check results



CHECK RESULTS:	Formula
MANDATORY CHECK 45% ELIGIBILITY CRITERIA	$(IKOP + FC + IKAA) \geq 45\%$ of action's (eligible costs + IKAA)

Result	Action needed
If < 45%	Increase IKOP, FC or IKAA to reach 45%

Result
if 45 - 49%

Result
If $\geq 50.00\%$



OPTIONAL CHECKS	
	Total FC paid - total FC received = 0
	IKAA $\leq 40\%$ total (IKOP + IKAA) from private members
	Non-EU IKOP $\leq 20\%$ total IKOP

If $\neq 0$ check if correct	
If > 40% Inform association office	If $\leq 40\%$
If > 20% Inform association office	If $\leq 20\%$

'Detailed budget' tab

- All cost categories
- 1 row for each participant
- Verify the budget is completed correctly
- Recommended before inserting budget in submission tool

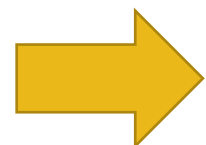
	Participant type <i>(please select)</i>	Participant legal name	A. Personnel costs	B. Subcontracting costs	C - Purchase costs			D. Internally invoiced goods and services	E. Indirect costs [25%*(A+C1+C2+C3)]	Total Eligible costs [A+B+C1+C2+C3+D+E]	Funding Rate	Max EU contribution to eligible costs [Funding rate * Total]	Requested EU contribution to eligible costs	Income generated by the action	Financial contributions (FC) RECEIVED	Financial contributions (FC) PAID	IKOP (Total eligible costs - requested EU contribution - FC received)	Non-EU part of IKOP	Own resources	Total estimated income [columns N+D+P+R+T]	IKAA
					C1. Travel & Subsistence	C2. Equipment	C3. Other goods, works & services														
1			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/	
2			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/
3			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/
4			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/
5			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/
6			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/
7			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/
8			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/
9			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/
10			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/
11			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/
12			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/
13			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/
14			10.00	10.00	10.00	10.00	10.00	10.00	10.00	100%	10.00	10.00	10.00	10.00	10.00	/	/	/	10.00	10.00	/

START HERE

Cells editable

Cells calculated automatically

Cells not applicable



Check results (slide 51) and correct if necessary



● Example

Single stage call Proposal

EU funds available for targeted topic:	10,000,000
Matching needed by Private Members and Contributing partners contributions:	10,000,000*

*50% is a prudent approach but 45% of the action's (eligible costs + IKAA) is the formal minimum

Step 1: Calculation of the contribution from Private Member and Contributing partners

(IKOP+FC+IKAA) needs to equal the total amount of EU funding available to consortium (EUR 10,000,000)

No	Participant type	Eligible Costs	Requested EU contribution	IKOP	IKAA	FC paid*	Contribution (IKOP+FC+IKAA) = EC funding available
A	Private members (Hybrid)	6,000,000	3,000,000	3,000,000	0	2,000,000	5,000,000
B	Private members	2,000,000	0	2,000,000	0	0	2,000,000
C	Contrib. partner	1,500,000	0	1,500,000	0	0	1,500,000
D	Private members (Hybrid)	1,500,000	1,000,000	500,000	1,000,000	0	1,500,000
	TOTALS	11,000,000	4,000,000	7,000,000	1,000,000	2,000,000	10,000,000

* Normally not specified in the budget of Private A at proposal stage as no 'FC paid' column (see slide 39)

Step 2: Calculation the remaining funding available for Beneficiaries requesting funding only (who cannot provide IKOP) - e.g. SMEs, Universities, ...

- [Total contribution (IKOP+FC+IKAA)] – [Industry requested EU contribution] = EU funding left available
- Based on the EU funding that remains available, bring on board the other beneficiaries

No	Participant type	Contribution (IKOP+FC+IKAA)	Requested EU contribution	Funding Remaining (Contribution – Reimbursement)
A	Private members (Hybrid)	5,000,000	3,000,000	2,000,000
B	Private members (only IKOP)	2,000,000	0	2,000,000
C	Contrib. partner (Hybrid)	1,500,000	0	1,500,000
D	Private members (Hybrid)	1,500,000	1,000,000	500,000
	TOTALS	10,000,000	4,000,000	6,000,000 = 10,000,000 – 4,000,000

Step 3: Bring Beneficiaries requesting funding only (who cannot provide IKOP/FC/IKAA)

Make sure their requested funding remains within the limits available (EUR 6,000,000)

Consortium Partner	Eligible Costs	Requested EU contribution	IKOP	IKAA	FC received	Total Contribution (IKOP+FC+IKAA)
Private A	6,000,000	3,000,000	3,000,000	0	0	5,000,000*
Private B	2,000,000	0	2,000,000	0	0	2,000,000
Private C	1,500,000	0	1,500,000	0	0	1,500,000
Private D	1,500,000	1,000,000	500,000	1,000,000	0	1,500,000
SME X	2,500,000	1,500,000	/	/	1,000,000	0
Large company Y	3,000,000	1,500,000	/	/	0	0
Hospital Z	4,000,000	3,000,000	/	/	1,000,000	0
TOTALS	20,500,000	10,000,000	7,000,000	1,000,000	2,000,000	10,000,000

= 6,000,000

* Includes 2,000,000 FC paid (see slide 54)

Step 4: IHI budget tool - Simplified budget

Participant types	Total eligible costs	Requested EU Contribution	FC received	IKOP	Non-EU IKOP	FC paid	IKAA	Total Industry
All Beneficiaries requesting funding only (not providing IKOP/FC/IKAA)	€9,500,000	€6,000,000	€2,000,000	/	/	/	/	/
All IHI private members (requesting funding or not)	€9,500,000	€4,000,000	€0	€5,500,000	€0	€2,000,000	€1,000,000	€8,500,000
All IHI contributing partners (requesting funding or not)	€1,500,000	€0	€0	€1,500,000	€0	€0	/	€1,500,000
TOTAL	€20,500,000	€10,000,000	€2,000,000	€7,000,000	€0	€2,000,000	€1,000,000	€10,000,000

Step 4: IHI budget tool – Check results



CHECK RESULTS:	Formula	Result	Action(s) needed
<u>MANDATORY</u> CHECK 45% ELIGIBILITY CRITERIA	$(IKOP + FC + IKAA) \geq 45\%$ of action's (eligible costs + IKAA)	46.51%	Your proposal is eligible as the 45% threshold is reached. However, you could further increase IKOP, FC paid or IKAA (or a combination of those contributions) in order to secure 50% and adopt a prudent approach.
<u>OPTIONAL</u> CHECKS	Total FC paid - total FC received = 0	€0.00	The total financial contributions paid equal the total financial contributions received. No action needed.
	$IKAA \leq 40\%$ total (IKOP + IKAA) from private members	15.38%	The IKAA in your proposal remains under 40%. No action needed.
	Non-EU IKOP $\leq 20\%$ total IKOP	0.00%	The non-EU IKOP in your proposal remains under 20%. No action needed.

Step 5: Test other budget scenarios if needed in the IHI budget tool

Potential options to reach 50%:

- Decrease Industry 'Requested EU contribution' to automatically increase the 'IKOP'
- Increase/add 'FC paid'
- Increase 'IKAA'
- A combination of the above

Participant types	Total eligible costs	Requested EU Contribution	FC received	IKOP	Non-EU IKOP	FC paid	IKAA	Total Industry
All Beneficiaries requesting funding only	€9,500,000	€6,000,000	€2,000,000	/	/	/	/	/
All IHI private members	€9,500,000	€3,000,000	€0	€6,500,000	€0	€2,000,000	€1,000,000	€9,500,000
All IHI contributing partners	€1,500,000	€0	€0	€1,500,000	€0	€0	/	€1,500,000
TOTAL	€20,500,000	↓ €9,000,000	€2,000,000	↑ €8,000,000	€0	€2,000,000	€1,000,000	↑ €11,000,000

CHECK RESULTS:	Formula	Result	Action(s) needed
<u>MANDATORY</u> CHECK 45% ELIGIBILITY CRITERIA	$(IKOP + FC + IKAA) \geq 45\%$ of action's (eligible costs + IKAA)	51.16%	Your proposal is eligible as it reaches the 45% threshold of total industry contribution. It even reaches 50%, which the prudent approach IHI recommends.

Useful documents

- [Model Grant Agreement](#) (MGA) for Horizon Europe, including Annex 5 applicable to Jus
- [Draft AGA](#) annotated version of MGA (no annotations for Annex 5)
- [Frequently asked questions](#) for IHI Calls
- [IHI guide for applicants](#)
- [Draft IKAA guidelines](#)
- [IHI budget tool](#) (available for single-stage calls only)



Thank you for your attention

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Questions time

If you want to ask a question please use the chat function on the right corner of your screen

